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Grant Application — Submitted Application View

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NBRC-20-0147 : Town of Millinocket, Maine : June 01, 2020

Awaiting Review

General Information

1. Entity Applying for Funding

Name of Entity	DUNS Number	Type of Entity
Town of Millinocket	051578318	Local Government

Primary Contact ⓘ Individual preparing the application, and who will be managing the project if awarded, but may not have signing authority.

First Name	Last Name	Organization
Cody	McEwen	Town of Millinocket

Email Address	Telephone Number
cody.mcewen@maine.edu	207-731-8023

Address/P.O. Box
197 Penobscot Avenue
Millinocket, Maine 04462

2. Co-Applicant

Are you applying with another entity?

☐ Yes ☒ No

3. & 4. User(s) Information


Authorized Official ⓘ This is the person who has been formally authorized by a Board or other entity to apply for, receive and spend funding on behalf of the organization.

First Name	Last Name	Title
John	Davis	Town Manager

Email Address	Telephone Number
manager@millinocket.org	207-723-7000%5

Project Information

5. State(s) & Congressional District(s)

 If you don't know your Congressional District, a good resource is:

http://en.wikipedia.org/wiki/List_of_United_States_Congressional_Districts
(http://en.wikipedia.org/wiki/List_of_United_States_Congressional_Districts).

State(s) that the project is located
in

Maine

Congressional District(s) that project is located in
ME-02

6. Amount

State	Amount Needed for Project	Funds Requested from NBRC
Maine	\$1,287,811.00	\$987,811.00

Total amount being requested from NBRC for this application:

\$987,811.00

7. Previous Funds

Has the applicant previously received funds from the NBRC?

☐ Yes ☒ No

8. Local Development District

What local development district is the entity located in?

Eastern Maine Development Corporation

9. Audits

Was the applicant required to submit a single audit in
the past 12 months?

☐ Yes ☒ No

10. Timeline

Anticipated Start Date

October 01, 2020

Anticipated End Date

July 01, 2021

11. Counties

Select all counties that the project will be in



Logged in as: Cody McEwen
[Change Password](#) | [Log Out](#)

12. Project Location or Distress

If your county is in 'Attainment' it does not qualify for funding unless the project is located in an isolated area of distress:

Not Applicable

13. Project Description

In 300 characters or less, describe what the project is and what it will accomplish; in a concise manner explain both the outputs that will be completed and the intended results (outcome) that are anticipated by the investment. * (e.g. *The Project will replace ~1.3 miles of 36" pipe with ~1.3 miles of 48" pipe along Broad Street (output) improving transmission to the Wastewater Treatment Plant in Cheshire City increasing capacity in order to allow future development and create jobs along Broad Street benefitting all of Beanbag County and extended area (outcome).*)

The project will replace 2750' of downtown sidewalks and curbs, install 22 streetlights on Penobscot Ave, and install 3 lights and improve electrical service in Veteran's Park. With other efforts, this project will improve downtown infrastructure and support business development and job creation.

14. Type of Activity

What is the type of activity that will be implemented?

☒ Construction ☐ Non-Construction

15. Infrastructure

Will the activity result in infrastructure?

☒ Yes ☐ No

16. Jobs

Will the project create or retain jobs?

☐ Yes ☒ No

17. Water/Sewer Service

Will the project improve water or sewer service?

☐ Yes ☒ No

18. Training

Will the project train individuals as part of a Workforce Development Program?

☐ Yes ☒ No

19. Project Location

Briefly describe the surroundings of the location of your project. For example: is it located in a downtown, new development, industrial park outside of village boundaries, or in a vacant building? Additionally, you are required to upload a PDF of a map showing the location of the project site.* If technical assistance or other non-location project, please write "Not Applicable." (50 character limit)

Penobscot Ave/Main St and Veteran's Memorial Park

Penobscot Avenue and Veteran's Memorial Park
at one of the "Eligible Purposes" outlined in Section A, VII, of the Application Manual. Explain how the project is consistent with this/these funding categories. (2,500 character limit)

This project supports implementation of Millinocket's Downtown Action Plan (January 2020), created in partnership with many stakeholders and involving extensive community input. Each of its elements is consistent with a Northern Border Eligible Purposes:

- Transportation: Improved, ADA-compliant sidewalks will make downtown safer, more walkable and support AARP-affiliated local aging in place efforts.
- Basic Public Infrastructure: New sidewalks, curbing, and streetlights along Penobscot Ave. (the town's main street) and lighting and electrical upgrades in Veteran's Park will greatly improve the town's aging public infrastructure.
- Tourism & Recreation: The improved sidewalks, park lighting and electrical infrastructure will make this space more usable for events that attract people from across the Katahdin Region and beyond.

21. Economic Impact of the Project

Provide justification that the outcomes anticipated by the NBRC investment will result in positive economic growth for the region, and cite what the basis is for the justification. (2,500 character limit)

Explain how the project may assist in any of the following:

- Create and/or retain jobs
- Raise per capita income
- Lower poverty in the region
- Lower regional unemployment
- Low regional outmigration rates

Millinocket has not been able to invest in Penobscot Avenue in over 30 years, as the town declined in parallel with the decline and eventual closing of the Great Northern Paper mill. Broken sidewalks and poor street lighting are hazardous to pedestrians and hamper downtown business activity. Inadequate electrical infrastructure limits community events in the park. Non-ADA compliant public buildings limit civic participation. All of these factors contribute to the perception of Millinocket as a defunct mill town with a dilapidated downtown and dim future.

22. Outcomes of the Project

What are the outcomes of your project? This question gets at what problem you are trying to solve with the proposed project.

Outcomes are different than outputs. Outputs are the linear miles of trail built or number of culverts replaced, or the products or services delivered. Outcomes or performance measures are the performance or changes as a result of the outputs. It is a quantifiable indicator used to assess the project objectives.

These should be measurable and obtainable not aspirational. Check off between 2-4 outcomes of your proposed project. The list provided is not all-inclusive but is provided to be helpful. If your outcomes are not listed, select 'other' and provide a measurable outcome that can be assessed 3 years after the project is completed that will determine the success of your project. For further explanation, reference the Application Manual.

23. Work Plan

Provide a work plan that outlines the project consistent with the budget that is being submitted. List the tasks that will be completed in order to achieve the desired outcomes in question #22. If you have an excel document, you may upload this in the "Document Upload" section once you complete all application questions. Please label it as Work Plan.

Attached as Document Upload.

24. Regional Plan

Provide a link to the regional plan, comprehensive economic development plan, or other regional planning document that supports the project, and explain how the project is consistent with the goals of that plan. * (If unaware of a planning document within your region contact your Local Development District, found in the Application Manual). *(500 character limit)*

This project is directly linked to the "Katahdin Gazetteer: Roadmap to the Future" developed through extensive community input. It calls for "downtown projects that will help support additional private investment to make the downtowns exciting, safe, and comfortable for all ages" and encourages the "Katahdin region to better utilize the existing downtowns to earn more tax revenue per acre and tourist dollars... and create places that people love to visit." <https://katahdincollaborative.org/>

25. State Planning

How does the project fit into a statewide economic development plan? * For a list of State Plans and/or contacts see the Application Manual or contact the Program Manager within your State. *(500 character limit)*

Maine's Economic Development Strategy 2020-2029 calls for adding 75,000 people to the state's workforce, aiming to "recruit skilled workers" by "capitalizing on Maine's heritage and outdoor lifestyle" and prioritizing "'Quality of Place' investments" like the downtown revitalization proposed here. The plan states that "Maine offers accessible, safe and livable communities," which Millinocket will be too once these improvements are complete. <https://www.maine.gov/decd/strategic-plan>

26. Strategic Plan



It will help advance the goals and/or explain how the project
 meeting the performance measures outlined in the NBRC
 (character limit)

This project supports these objectives:

- 1.1 – “Revitalize and modernize essential infrastructure.” Improvement of sidewalks and lighting will reduce maintenance costs.
- 2.2 – [Create] “an attractive and supportive place for creative and entrepreneurial people.” A vibrant downtown will appeal to new residents and support Our Katahdin’s 230 Penobscot project.
- 3.1 – “Foster entrepreneurial leadership and capacity.” Implementing the Downtown Action Plan is building local leadership across many sector

27.—32. Revolving Loan Fund

Are you requesting to use funds for a Revolving Loan Fund (RLF)?

☐ Yes ☒ No

Project Financing

33. Project Financial Overview

Total Project Costs (This is the total project costs including what you are requesting from NBRC)	\$1,287,811.00
NBRC Requested Amount	\$987,811.00
Match (This is total project cost minus NBRC request)	\$300,000.00

34. Budget

All projects will fill out the Standard Form 424cbw.

Please upload the correct Standard Form to the required documents section of the Document Upload page.

35. Identify Match

For detail of match (cost sharing) requirements and what qualifies as match, see Application Manual, Section A, XVII. List all funding sources, their status (has the funding been approved and/or committed, pending—what is the notification date?), and what is the type of match (cash, loan, grant, in-kind, etc.)? * Please include any letters of commitment, approval, etc to the additional documents section of the document upload page. If not all of your funding sources are known at this time, you can write "TBD" in the Funding Source column. Please note the total match amount should equal the "Match" row in #33.

Funding Source	Type	Notification Date	Status	Amount
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Total Match Amount \$300,000.00

36. Capital Investments

Will there be any capital investments made by private companies? If yes, please attach participation agreements or letters of commitment if available in the additional documents section of the Document Upload page.

☐ Yes ☒ No

37. Administration

Include 5% for the first \$100,000, and 1% for funds in excess of \$100,000 for investment administration. This amount will be reduced from your NRBC award. You will be required to use a Local Development District to administer your investment unless you are a State entity, or from the state of Vermont. **Include this in your budget** even if you don't currently have a relationship with an LDD. Include this amount in the 'consultant' line item in the SF 424cbw. See Application Manual for more detail.

38. Sustainability

How will the investment be sustained over time? * (e.g. A water infrastructure project has been completed with NBRC funding in the Town of Somewhere. The Town of Somewhere has included future maintenance and service of the facility in their budget, and has an established Capital Improvement Program to ensure that maintenance and issues will be covered). A project is not deemed sustainable if it is dependent on future grants to meet its intended purpose). (1,500 character limit)

The Town of Millinocket establishes an annual budget to sustain general upkeep of town owned property and services, as well as an additional Capital Improvement budget to maintain larger expenses such as major purchases or infrastructure improvements. Special services such as electricians, are contracted out and are set in the annual budget as well.

The maintenance of the park and street light project are already maintained through seasonal groundskeeping and service requests for any electrical repair needed. Our Public Works department provides a substantial amount of in-house maintenance to town property, - grounds, major infrastructure, and equipment - and our skilled force continues to save the town on otherwise costly expenses.

39. Property

Will property or equipment be purchased with NBRC or matching funds for the project? * (Note: an appraisal will be required prior to any land transaction taking place, signed off on by an MAI (Member Appraisal Institute) Appraiser or a Certified General Appraiser).

☐ Yes ☒ No

40. Ownership

Who will own any property or improvements resulting from the investment? * Please provide the procedure, or instruments that will be put in place, to maintain public control. Elaboration on this may be found in the Application Manual. (1,500 character limit)

The property owner is the municipality of the Town of Millinocket. The Main Street where the work is planned, will not have any sale or transfer of said property as long as the town is an established entity approved by the State of Maine.

Property improvements are at the discretion of the Town Council. According to our Downtown Action Plan, two major areas of improvement is the Veteran's Memorial park and our Main Street on Penobscot Ave. Community Development Block Grant funds will be utilized to meet our first phase of project goals of park improvements and updated Main St. lighting. We need additional funding to finish the Main St. section of our downtown.

41. Financial Need

Describe efforts to fund this project with other sources. List other sources that have been applied for and what the status is of those funds. What local efforts have been made to fund the project? Please outline why NBRC funds are needed and how the project may or may not move forward without NBRC funds. * (e.g. The project will take longer because additional fund raising will need to happen, the project would need to be staged, the project would not move forward, etc.) (1,500 character limit)

NBRC funds are crucial to move the full project scope forward. Without this funding, the town would not be able to do any sidewalk improvements in the foreseeable future. A Community Development Block Grant for the park improvement components of this project has been secured and will serve as match.

A key component of our revitalization is making our community a more accessible age-friendly community. Millinocket is designated as one of AARP's Age-Friendly Communities and this project covers two domain of livability specifically – Outdoor Spaces and Public Places, and Transportation – which are crucial to include all in our community.

Documents

SF 424 - Application for Federal Assistance	NBRC SF-424 MLKT.pdf
SF 424cbw - Budget	424Cbw Millinocket 2020.pdf
SF 424d - Assurances for Construction	NBRC 424d MLKT.pdf
SF LLL - Disclosure of Lobbying Activities	NBRC SF-LLL MLKT.pdf
NBRC Form 1001 - Debarment, Suspension Cert	NBRC Form 1001 MLKT.pdf
NEPA Documentation	NEPA CATEx MLKT.pdf
Maps (Project Location)	NBRC 19 project location MLKT.pdf



Additional Document

[Millinocket 2020 DTR Approval.pdf](#)

Additional Document

[Millinocket budget narrative with budgets.pdf](#)

Work Plan

[Millinocket NBRC workplan.pdf](#)

Authorization/Resolution

The NBRC requires this resolution by the applicant's legal authority providing authorization to the executive to make and sign this application to Northern Border Regional Commission. The resolution indicates the executive's permission to sign any and all NBRC investment documents that bind the applicant. Legal authorities include: selectboards and councils for municipalities; commissions for counties; state authorized officials or boards for states; boards of directors or trustees for non profits.

By signing this application, applicant acknowledges and affirms the following statements:

- The applicant is not legally organized as for-profit entity, LLC, Sole Proprietorship, Individual or any other entity excluded from receiving federal grants or awards;
- The applicant has no outstanding federal debts, liens or encumbrances;
- The applicant is in good standing with its single audit requirements, if applicable;
- Any conflicts of interest have been disclosed in writing prior to the submission of this application;
- No application preparation or consultant fees shall be paid from NBRC awarded funds;
- Submission of this application does not constitute a contract or guaranteed funding from NBRC;
- Local Development Districts (LDDs), serving as project administrators shall be entitled to fees amounting to 5% of the first \$100,000 and 1% thereafter, of the total NBRC awarded amount, unless the applicant is a state entity;
- No expenses are eligible for reimbursement prior to the execution of the **Notice to Proceed**;
- That Notice to Proceed will not be issued until match funding has been secured and documented;
- The project must be located within the NBRC service area and be a direct benefit to the area;
- All applicable state laws pertaining to contracting, procurement, and bidding process shall be adhered to; and
- The project does not relocate a business from one region to another;
- You have the legal authority to sign any and all NBRC Investment documents, to submit this application for funding and accept funds for the purposes outlined in this application;
- To the applicant's knowledge, the statements and information contained in this application are true and correct.

☒ I acknowledge that I am the Authorized Official for this application and I affirm the above statements.

John Davis

Name

06/01/2020

Date

